

## Notes on the GSW code

### gsw\_z\_from\_p

#### for calculating height $z$ from pressure $p$

Height  $z$  is measured positive upwards, so it is negative in the ocean. First, note that we use the following version of specific volume anomaly,

$$\delta = \hat{v}(S_A, \Theta, p) - \hat{v}(S_{SO}, 0^\circ\text{C}, p). \quad (1)$$

That is, the reference Absolute Salinity is the Absolute Salinity of the Standard Ocean,  $S_{SO} \equiv 35.165\,04 \text{ g kg}^{-1}$ , and the reference “temperature” is a fixed value of Conservative Temperature of zero degrees Celsius. Dynamic height anomaly  $\Psi$  is then defined by Eqn. (3.27.1) of IOC *et al.* (2010) as follows

$$\Psi = - \int_{P_0}^P \delta(p') dp', \quad (2)$$

where  $P_0 = 101\,325 \text{ Pa}$  is the standard atmosphere pressure.

The vertical integral of the hydrostatic equation ( $P_z = -g\rho$  or  $g = -vP_z$ ) is (from Eqn. (3.32.3) of the TEOS-10 Manual (IOC *et al.* (2010)))

$$\begin{aligned} \int_0^z g(z') dz' &= \Phi^0 - \int_{P_0}^P v(p') dp' = - \int_{P_0}^P \hat{v}(S_{SO}, 0^\circ\text{C}, p') dp' + \Psi + \Phi^0 \\ &= -\hat{h}(S_{SO}, 0^\circ\text{C}, p) + \Psi + \Phi^0, \end{aligned} \quad (3.23.3)$$

Here  $\Phi^0$  is the geopotential at zero sea pressure on this vertical cast. We use the 75-term based expression for enthalpy (Roquet *et al.*, 2015), recognizing that because  $\Theta = 0^\circ\text{C}$  many of the coefficients are zero, so the evaluation of Eqn. (A.30.6) is less computationally expensive than it may appear. The library function **gsw\_enthalpy\_SSO\_0(p)** is used to evaluate  $\hat{h}^{75}(S_{SO}, 0^\circ\text{C}, p)$  efficiently at these fixed values of Absolute Salinity and Conservative Temperature.

Writing the gravitational acceleration of Eqn. (D.3) of IOC *et al.* (2010) as

$$g = g(\phi, z) = g(\phi, 0)(1 - \gamma z), \quad (4)$$

we see that Eqn. (3.32.3) becomes

$$\hat{h}^{75}(S_{SO}, 0^\circ\text{C}, p) - \Psi - \Phi^0 + g(\phi, 0)\left(z - \frac{1}{2}\gamma z^2\right) = 0. \quad (5)$$

When the **gsw\_z\_from\_p** code is called with two arguments, as in **gsw\_z\_from\_p(p,lat)**,  $\Psi + \Phi^0$  is ignored in Eqn. (5) and this quadratic expression is solved for the height  $z$ . We do this using the standard quadratic solution equation, but for  $z^{-1}$ . This is done so that the result is accurate as pressure tends to zero, and so that the answer also converges to the correct solution when the quadratic term  $\gamma$  tends to zero (since there may be some applications where it is preferable to assume that the gravitational acceleration is depth-independent). Hence we evaluate  $z$  from the equation

$$z = - \frac{2\left(\hat{h}^{75}(S_{SO}, 0^\circ\text{C}, p) - \Psi - \Phi^0\right)}{g(\phi, 0) + \sqrt{g^2(\phi, 0) + 2\gamma g(\phi, 0)\left(\hat{h}^{75}(S_{SO}, 0^\circ\text{C}, p) - \Psi - \Phi^0\right)}}. \quad (6)$$

Note again that height  $z$  is negative in the ocean. When the code is called with three arguments, the third argument is taken to be dynamic height  $\Psi$  and the geopotential at

zero pressure  $\Phi^0$  is taken to be zero. When the code is called with four arguments the third argument is taken to be  $\Psi$  and the fourth  $\Phi^0$ . The dynamic height anomaly  $\Psi$  can be evaluated using the GSW function **gsw\_geo\_strf\_dyn\_height**, noting that the reference pressure in the call to this function must be zero sea pressure.

Note that in Eqn. (5) the last term,  $g(\phi,0)\left(z - \frac{1}{2}\gamma z^2\right)$ , can be written as  $z\bar{g}$  where  $\bar{g}$  is the mean gravitational acceleration between  $z = 0$  and the height concerned. Recognizing this, the height  $z$  output from this algorithm is also equal to

$$z = -\frac{\left(\hat{h}^{75}(S_{SO}, 0^\circ\text{C}, p) - \Psi - \Phi^0\right)}{\bar{g}}. \quad (7)$$

## Notes on the GSW code

### **gsw\_p\_from\_z**

#### for calculating pressure $p$ from height $z$

In the **gsw\_p\_from\_z** code we evaluate pressure  $p$  using a modified Newton-Raphson iteration procedure so that the pressure so obtained is exactly consistent with the “forward” calculation of  $z$  from  $p$  via the function **gsw\_z\_from\_p**.

When the **gsw\_p\_from\_z** code is called with two arguments, as in **gsw\_p\_from\_z**( $z$ , $lat$ ), we ignore  $\Psi + \Phi^0$  while solving Eqn. (8) below. Note again that height  $z$  is negative in the ocean. When the code is called with three arguments, the third argument is taken to dynamic height  $\Psi$  and the geopotential at zero pressure  $\Phi^0$  is taken to be zero. When the code is called with four arguments the third argument is taken to be  $\Psi$  and the fourth  $\Phi^0$ . The dynamic height anomaly  $\Psi$  can be evaluated using the GSW function **gsw\_geo\_strf\_dyn\_height**, noting that the reference pressure in the call to this function must be zero sea pressure.

A good starting point for pressure is found by using the Saunders (1981) quadratic expression relating depth to a quadratic of pressure; we solve this quadratic using the standard quadratic solution formula but for  $p^{-1}$  instead of for  $p$ , so that the solution is well-behaved as  $z$  goes to zero.

Hence, given  $z$ , we have a zeroth estimate of pressure,  $p_0$ , from the Saunders (1981) quadratic expression. Now we want to solve (see Eqn. (3.32.3) of the TEOS-10 Manual, IOC *et al.* (2010)),

$$\text{where, } f(p) = \hat{h}^{75}(S_{SO}, 0^\circ\text{C}, p) - \Psi - \Phi^0 + g(\phi,0)\left(z - \frac{1}{2}\gamma z^2\right). \quad (8)$$

The derivative of  $f(p)$  is approximately

$$f'(p) = 10^4 \hat{v}^{75}(S_{SO}, 0^\circ\text{C}, p), \quad (9)$$

and this is available from the 75-term function expression for seawater specific volume (and since  $\Theta = 0^\circ\text{C}$ ,  $\hat{v}^{75}(S_{SO}, 0^\circ\text{C}, p)$  is particularly simple to evaluate using the library function **gsw\_specvol\_SSO\_0**( $p$ )). The factor of  $10^4$  in Eqn. (9) is because we want to

solve for pressure in dbar rather than in the natural SI unit for pressure of Pa . That is, Eqn. (9) is the derivative of  $f(p)$  with respect to pressure  $p$  in dbar.

After finding  $p_0$  we evaluate  $f(p_0) = \hat{h}^{75}(S_{SO}, 0^\circ\text{C}, p_0) - \Psi - \Phi^0 + g(\phi, 0) \left( z - \frac{1}{2} \gamma z^2 \right)$ , then calculate  $f'(p_0) = 10^4 \hat{v}^{75}(S_{SO}, 0^\circ\text{C}, p_0)$  and use these values of  $f(p_0)$  and  $f'(p_0)$  to form an intermediate pressure estimate  $p_1$  as (this is a standard Newton's method iteration)

$$p_1 = p_0 - f(p_0)/f'(p_0) . \quad (8)$$

Then we form  $p_m = 0.5(p_0 + p_1)$  and evaluate  $f'(p_m) = 10^4 \hat{v}^{75}(S_{SO}, 0^\circ\text{C}, p_m)$  and use  $f(p_0)$  and  $f'(p_m)$  to calculate  $p_2$  from

$$p_2 = p_0 - f(p_0)/f'(p_m) . \quad (9)$$

This is one full step of the "modified Newton-Raphson" iteration procedure of McDougall and Wotherspoon (2014), and this one modified step gives pressure to better than  $1.6 \times 10^{-10}$  dbar (which is essentially machine precision) down to a height  $z$  of -8000m. The **gsw\_p\_from\_z** function performs this one full iteration of the modified Newton-Raphson iteration.

### References

- McDougall, T.J., D.R. Jackett, D.G. Wright and R. Feistel, 2003: Accurate and computationally efficient algorithms for potential temperature and density of seawater. *J. Atmosph. Ocean. Tech.*, **20**, pp. 730-741.
- McDougall, T. J., and S. J. Wotherspoon, 2014: A simple modification of Newton's method to achieve convergence of order  $1 + \sqrt{2}$ . *Applied Mathematics Letters*, **29**, 20-25. <http://dx.doi.org/10.1016/j.aml.2013.10.008>
- Roquet, F., G. Madec, T. J. McDougall and P. M. Barker, 2015: Accurate polynomial expressions for the density and specific volume of seawater using the TEOS-10 standard. *Ocean Modelling*, **90**, pp. 29-43. <http://dx.doi.org/10.1016/j.ocemod.2015.04.002>
- Saunders, P. M, 1981: Practical conversion of pressure to depth. *Journal of Physical Oceanography*, **11**, 573-574.

Below is section 3.32 of the TEOS-10 Manual (IOC *et al.* (2010)).

### 3.32 Pressure to height conversion

The vertical integral of the hydrostatic equation ( $g = -v P_z$ ) can be written as

$$\begin{aligned} \int_0^z g(z') dz' &= \Phi^0 - \int_{P_0}^P v(p') dP' = - \int_{P_0}^P \hat{v}(S_{SO}, 0^\circ\text{C}, p') dP' + \Psi + \Phi^0 \\ &= - \hat{h}(S_{SO}, 0^\circ\text{C}, p) + \Psi + \Phi^0, \end{aligned} \quad (3.32.1)$$

where the dynamic height anomaly  $\Psi$  is expressed in terms of the specific volume anomaly  $\hat{\delta} = \hat{v}(S_A, \Theta, p) - \hat{v}(S_{SO}, 0^\circ\text{C}, p)$  by

$$\Psi = - \int_{P_0}^P \hat{\delta}(p') dP', \quad (3.32.2)$$

where  $P_0 = 101\,325\text{Pa}$  is the standard atmosphere pressure. Writing the gravitational acceleration of Eqn. (D.3) as  $g = g(\phi, z) = g(\phi, 0)(1 - \gamma z)$ , the left-hand side of Eqn. (3.32.1) becomes  $g(\phi, 0)\left(z - \frac{1}{2}\gamma z^2\right)$ , and using the 76-term expression for the specific enthalpy of Standard Seawater, Eqn. (3.32.1) becomes

$$\hat{h}^{75}(S_{\text{SO}}, 0^\circ\text{C}, p) - \Psi - \Phi^0 + g(\phi, 0)\left(z - \frac{1}{2}\gamma z^2\right) = 0. \quad (3.32.3)$$

This is the equation that is solved for height  $z$  in the GSW function `gsw_z_from_p`. It is traditional to ignore  $\Psi + \Phi^0$  when converting between pressure and height, and this can be done by simply calling this function with only two arguments, as in `gsw_z_from_p(p, lat)`. Ignoring  $\Psi + \Phi^0$  makes a difference to  $z$  of up to 4m at 5000 dbar. Note that height  $z$  is negative in the ocean. When the code is called with three arguments, the third argument is taken to be  $\Psi + \Phi^0$  and this is used in the solution of Eqn. (3.32.3). Dynamic height anomaly  $\Psi$  can be evaluated using the GSW function `gsw_geo_strf_dyn_height`. The GSW function `gsw_p_from_z` is the exact inverse function of `gsw_z_from_p`; these functions yield outputs that are consistent with each other to machine precision.

When vertically integrating the hydrostatic equation  $P_z = -g\rho$  in the context of an ocean model where Absolute Salinity  $S_A$  and Conservative Temperature  $\Theta$  are piecewise constant in the vertical, the geopotential (Eqn. (3.24.2))

$$\Phi = \int_0^z g(z') dz' = \Phi^0 - \int_{P_0}^P v(p') dP', \quad (3.32.4)$$

can be evaluated as a series of exact differences. If there are a series of layers of index  $i$  separated by pressures  $p^i$  and  $p^{i+1}$  (with  $p^{i+1} > p^i$ ) then the integral can be expressed (making use of (3.7.5), namely  $h_p|_{S_A, \Theta} = \hat{h}_p = v$ ) as a sum over  $n$  layers of the differences in specific enthalpy so that

$$\Phi = \Phi^0 - \int_{P_0}^P v(p') dP' = \Phi^0 - \sum_{i=1}^n \left[ \hat{h}(S_A^i, \Theta^i, p^{i+1}) - \hat{h}(S_A^i, \Theta^i, p^i) \right]. \quad (3.32.5)$$

The difference in enthalpy at two different pressures for given values of  $S_A$  and  $\Theta$  is available in the GSW Oceanographic Toolbox via the function `gsw_enthalpy_diff`. The summation of a series of such differences in enthalpy occurs in the GSW functions to evaluate two geostrophic streamfunctions from piecewise-constant vertical property profiles, `gsw_geo_strf_dyn_height_pc` and `gsw_geo_strf_isopycnal_pc`.